Form ADV-Brochure Supplement

# REGENCY
## WEALTH MANAGEMENT

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This Brochure Supplement provides information about the qualifications and backgrounds of persons providing advisory services to clients of Regency Wealth Management. If you have any questions about the contents of this Brochure Supplement, please contact us at 201-447-5850.

The information in this Brochure Supplement has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Regency Wealth Management is a Registered Investment Adviser. Registration of an Investment Adviser does not imply any level of skill or training. The oral and written communications of an Adviser provide you with information about which you determine to hire or retain an Adviser.

Additional information about Regency Wealth Management also is available on the SEC's website at www.adviserinfo.sec.gov. Our Central Registration Depository (CRD) number is 131208.

# TIMOTHY G. PARKER, CFA, AEP®

This Brochure Supplement provides information about Timothy G. Parker, CFA that supplements the Regency Wealth Management Brochure. You should have received a copy of that Brochure. Please contact Mark D. Reitsma, CFP® if you did not receive Regency Wealth Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about Timothy G. Parker, CFA is available on the SEC's website at www.adviserinfo.sec.gov.

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Timothy G. Parker was born in 1966 and graduated from San Diego State University in 1989 with a Bachelor of Science degree in Business Administration. Since 2004 Mr. Parker has been an owner of and worked in the registered investment advisory firm Hudson Capital Management LLC (doing business as Regency Wealth Management since December 15, 2010.) Before the rebranding of the firm Mr. Parker was President of Hudson Capital Management LLC and was responsible for all aspects of the firm including client relations, investment management, and compliance.

Timothy G. Parker earned the professional designation Chartered Financial Analyst (CFA) in 2001. Timothy G. Parker fulfilled the prerequisites to earning this designation through his undergraduate degree and four years of professional experience involving investment decision-making. The educational requirement for the designation is a self-study program that entails about 750 hours of study, the successful completion of three successive examinations, and a commitment to abide by, and annually affirm, his adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

Timothy G. Parker earned the professional designation Accredited Estate Planner (AEP®) in 2021. Timothy G. Parker fulfilled the prerequisites to earning this designation through his attaining of the CFA professional designation and five years of professional experience involving financial planning, philanthropy and trust services. The designation also requires an annual commitment to abide by the NAEPC Code of Ethics.

## DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item for Timothy G. Parker.

## OTHER BUSINESS ACTIVITIES

Registered investment advisers are required to disclose all material facts regarding any other business activities that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item for Timothy G. Parker.

## ADDITIONAL COMPENSATION

Registered investment advisers are required to disclose all material facts regarding any additional compensation that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item for Timothy G. Parker.

## SUPERVISION

Other Partners of Regency Wealth Management supervise the activities of Timothy G. Parker, including monitoring the advice provided to clients. Supervision is accomplished through attending client meetings with Timothy G. Parker, internal discussions amongst all Partners, and their ability to view client portfolios, positions and files at any time. All partners can be reached 201-447-5850.

# MARK D. REITSMA, CFP®, CMFC, AEP®

This Brochure Supplement provides information about Mark D. Reitsma, CFP® that supplements the Regency Wealth Management Brochure. You should have received a copy of that Brochure. Please contact Mark D. Reitsma, CFP® if you did not receive Regency Wealth Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about Mark D. Reitsma, CFP® is available on the SEC's website at www.adviserinfo.sec.gov.

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Mark D. Reitsma was born in 1973 and graduated from Calvin College in 1995 with a Bachelor of Arts degree double major in Business Administration and Psychology. Mark D. Reitsma joined Regency Wealth Management in December 2010. From February 2006 through December 2010 he was an investment advisor representative and registered representative with LPL Financial, a registered investment advisory firm and broker-dealer. From 2001 through February 2006 Mark D. Reitsma was a registered representative with Waddell & Reed, Inc.

Mark D. Reitsma earned the professional designation Certified Financial Planner (CFP®) in 2004. Mark D. Reitsma fulfilled the prerequisite to earning this designation through his bachelor's degree and three years of full-time personal financial planning experience. His educational requirement for the designation was completion of a CFP®-board registered program, an advanced college level course of study covering insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning and estate planning, and agree to be bound by, and annually affirm the CFP Board's Standards of Professional Conduct. Mark D. Reitsma successfully passed the CFP® Certification Examination.

Mark D. Reitsma earned the professional designation Chartered Mutual Fund Counselor (CMFC) in 2004. While there are no prerequisites to earning this designation, the educational requirement for the designation is a self-study program that entails nine modules requiring 72-90 hours of study. Mark D. Reitsma successfully passed an online, closed-book, proctored examination.

Mark D. Reitsma earned the professional designation Accredited Estate Planner (AEP®) in 2022. Mark D. Reitsma fulfilled the prerequisites to earning this designation through his attaining of the CFP® professional designation and five years of professional experience involving financial planning, philanthropy and trust services. The designation also requires an annual commitment to abide by the NAEPC Code of Ethics.

## DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item for Mark D. Reitsma.

## OTHER BUSINESS ACTIVITIES

Registered investment advisers are required to disclose all material facts regarding any other business activities that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item for Mark D. Reitsma.

## ADDITIONAL COMPENSATION

Registered investment advisers are required to disclose all material facts regarding any additional compensation that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item for Mark D. Reitsma.

## SUPERVISION

Other Partners of Regency Wealth Management supervise the activities of Mark D. Reitsma, including monitoring the advice provided to clients. Supervision is accomplished through attending client meetings with Mark D. Reitsma, internal discussions amongst all Partners, and their ability to view client portfolios, positions and files at any time. All partners can be reached 201-447-5850.

# BRYAN D. KABOT, CFP®, AAMS

This Brochure Supplement provides information about Bryan D. Kabot, CFP®, AAMS® that supplements the Regency Wealth Management Brochure. You should have received a copy of that Brochure. Please contact Mark D. Reitsma, CFP® if you did not receive Regency Wealth Management's Brochure or if you have any questions about the contents of this supplement. Additional information about Bryan D. Kabot, CFP®, AAMS® is available on the SEC's website at www.adviserinfo.sec.gov.

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Bryan D. Kabot was born in 1978 and graduated from University of Michigan in December 2000 with a Bachelor of Arts degree in Sociology. Bryan D. Kabot joined Regency Wealth Management in December 2013. From April 2010 to December 2013 Bryan D. Kabot owned and operated Kabot Financial, an investment advisory firm. Prior to Kabot Financial he was a Financial Consultant/Registered Representative at A.G. Edward & Sons in Short Hills, New Jersey from 2005 to 2010. While Bryan D. Kabot was working for A.G. Edwards and Sons it was purchased by Wachovia Securities, LLC and then, soon after, purchased by Wells Fargo Advisers. Bryan D. Kabot was a Financial Advisor/Registered Representative at Morgan Stanley in Irvine, California from 2003 to 2005. Mr. Kabot worked in defense litigation directly after graduating from college and before starting his financial advising career.

Bryan D. Kabot earned the professional designation Certified Financial Planner (CFP®) in 2015. Bryan D. Kabot fulfilled the prerequisite to earning this designation through his bachelor's degree and three years of full-time personal financial planning experience. His educational requirement for the designation was completion of a CFP®-board registered program, an advanced college level course of study covering insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning and estate planning, and agree to be bound by, and annually affirm the CFP Board's Standards of Professional Conduct. Bryan D. Kabot successfully passed the CFP® Certification Examination.

Bryan D. Kabot earned the professional designation Accredited Asset Management Specialist (AAMS®) in 2005 from the College of Financial Planning. While there are no prerequisites to earning this designation, the educational requirement for the designation is a self-study program that entails 28 hours of study. Bryan D. Kabot successfully passed an online, closed-book, proctored examination.

## DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item for Bryan D. Kabot.

## OTHER BUSINESS ACTIVITIES

Bryan D. Kabot serves on the Board of Directors of the Eastern Christian School Association and holds the position of Treasurer.

## ADDITIONAL COMPENSATION

Registered investment advisers are required to disclose all material facts regarding any additional compensation that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item for Bryan D. Kabot.

## SUPERVISION

Other Partners of Regency Wealth Management supervise the activities of Bryan D. Kabot, including monitoring the advice provided to clients. Supervision is accomplished through attending client meetings with Bryan D. Kabot, internal discussions amongst all Partners, and their ability to view client portfolios, positions and files at any time. All partners can be reached 201-447-5850.

# MARK M. ANDRAOS, CFA, CFP®

This Brochure Supplement provides information about Mark M. Andraos, CFP® that supplements the Regency Wealth Management Brochure. You should have received a copy of that Brochure. Please contact Mark D. Reitsma, CFP® if you did not receive Regency Wealth Management's Brochure or if you have any questions about the contents of this supplement. Additional information about Mark M. Andraos, CFP® is available on the SEC's website at www.adviserinfo.sec.gov.

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Mark M. Andraos was born in 1990 and graduated from Rutgers Business School in 2012 with a Bachelor of Science degree in Finance. Mark M. Andraos joined Regency Wealth Management in January 2019. From April 2018 to December 2018, he was Senior Associate of Investment Research with Fountainhead AM, LLC, a registered investment advisory firm. From April 2014 to March 2018, Mark M. Andraos was an Investment Research and Portfolio Analyst with Heller Wealth Advisors, LLC, a registered investment advisory firm. From October 2012 to April 2014, Mark M. Andraos was an Associate Financial Planner with Prestige Wealth Management Group, LLC, a registered investment advisory firm and broker-dealer.

Mark M. Andraos earned the professional designation Chartered Financial Analyst (CFA) in 2019. Mark M. Andraos fulfilled the prerequisites to earning this designation through his undergraduate degree and four years of professional experience involving investment decision-making. The educational requirement for the designation is a self-study program that entails about 750 hours of study, the successful completion of three successive examinations, and a commitment to abide by, and annually affirm, his adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

Mark M. Andraos earned the professional designation of Certified Financial Planner (CFP®) in 2015. Mark M. Andraos fulfilled the prerequisite to earning this designation through his Bachelor's degree and three years of full-time personal financial planning experience. His educational requirement for the designation was completion of a CFP®-board registered program, an advanced college level course of study covering insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning and estate planning, and agrees to be bound by, and annually affirm the CFP Board's Standards of Professional Conduct. Mark M. Andraos successfully passed the CFP® Certification Examination.

## DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item for Mark M. Andraos.

## OTHER BUSINESS ACTIVITIES

Registered investment advisers are required to disclose all material facts regarding any other business activities that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item for Mark M. Andraos.

## ADDITIONAL COMPENSATION

Registered investment advisers are required to disclose all material facts regarding any additional compensation that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item for Mark M. Andraos.

## SUPERVISION

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