

# Bergen's 2015 Top Financial Advisors

Advisor Name/Rank	Firm Name	Address	Years as a Financial Advisor	Number of Individual Client Relationships	Total Assets Under Management (Firm)
1. Charles Lieberman	Advisors Capital Management	10 Wilsey Square, Suite 200, Ridgewood, (201) 447-3400	35	900	\$1,000,000,000
2. Douglas Roth	RMR Wealth Builders	500 Glenpointe Centre West, Teaneck, (888) 333-9898	32	500	\$350,000,000
3. Steve Braverman	Pathstone Family Office	1 Bridge Plaza North, Suite 550, Fort Lee, (201) 944-7284	21	45	\$2,600,000,000
4. Paul E. Metcalfe, CLU, ChFC, AEP, CRPC	Lincoln Financial Advisors/Sagemark Consulting	61 South Paramus Road, Suite 425, Paramus, (201) 556-4569	39	460	\$46,000,000
5. Craig C. Bartlett, CFP, CRPC	Wealth By Design	61 South Paramus Road, Suite 425, Paramus, (201) 556-4507	19	300	\$300,000,000
6. Jim Parks, CFP, AEP, AIF	Parks Wealth Management	216 East Ridgewood Ave., 2nd Floor, Ridgewood, (201) 689-2020	23	250	\$84,000,000
7. Douglas M. James, CFP, CLTC	Saddle River Financial Group	65 East Allendale Road, Saddle River, (201) 760-8700	19	170	\$210,000,000
8. Stephen Craffen, CFA, ChFC, CLU	Stonegate Wealth Management, LLC	393 Ramapo Valley Road, Suite 2, Oakland, (201) 791-0085	25	150	\$140,000,000
9. Timothy J. Watters, CFP	Watters Financial Services, LLC	16 Arcadian Ave., Suite C4, Paramus, (201) 843-0044	28	98	\$73,400,000
10. Anthony Orlando	Anthony J. Orlando, Inc.	617 Oradell Ave., Suite 4, Oradell, (201) 262-6000	27	175	\$30,000,000
11. James Shagawat, CFP, ChFC	Baron Financial Group, LLC	16-00 Route 208 South, Fair Lawn, (201) 791-6408	13	200	\$188,000,000
12. Timothy Parker, CFA	Regency Wealth Management	500 North Franklin Turnpike, Suite 212, Ramsey, (201) 447-5850	13	160	\$160,000,000
13. Nicholas LaVerghetta, CFP	NCM Capital Management LLC	161 North Franklin Turnpike, Suite 203, Ramsey, (201) 529-1429	17	65	\$90,000,000
14. Mark Santangelo, CPA, CFP	Titan Financial Services, LLC	85 Kinderkamack Road, Suite 200, Emerson, (201) 599-2235	17	150	\$26,000,000
15. Ivan Arteaga	Arteaga Capital Management, LLC	Park 80 West Plaza 2, Suite 200, P.O. Box 8426, Saddle Brook, (201) 425-3701	21	32	\$2,367,000
16. Eric Friedman, CFP, CRPC	Ameriprise Financial	250 Pehle Ave., Suite 500, Park 80 West Plaza Two, Saddle Brook, (201) 221-2700	7	100	\$70,000,000
17. James T. Cassa, CPA	Cassa Wealth Management, P.C.	650 From Road, South Lobby, 5th Floor, Paramus, (201) 355-1788	10	75	\$97,000,000
18. Erik Larsen	Columbia Bank	25-00 Broadway, Fair Lawn, (201) 470-2912	13	75	\$12,000,000
19. Jose Vicente, CFP, CLTC	Ameriprise Financial Services, Inc.	250 Pehle Ave., Suite 500, Park 80 West Plaza Two, Saddle Brook, (201) 388-9904	9	200	\$40,000,000
20. Douglas Wolfe	Saddle River Capital	P.O. Box 635, Saddle River, (201) 639-3693	11	66	\$25,000,000

# Bergen County's Top Financial Advisors

PRESENTED BY (201) MAGAZINE

Finding a financial advisor who understands your financial goals is an asset to everyone, regardless of income level.

Everyone has different financial goals. For some, it may be protecting assets to pass down to future generations; for others it may be saving for a comfortable retirement. No matter what your financial goals, finding the right advisor can help get you there.

[Click here to see the inaugural Bergen's Top Financial Advisors rankings, also appearing in \(201\) Magazine.](#) The list is designed to showcase advisors in the Bergen County area. Numbers do not tell the whole story, and it is important to find the right advisor who understands your financial goals.

The list is by no means definitive; there are many advisors who may not be featured on the list but are still top professionals. We want to shed light on the fact that financial planning is an asset to everyone regardless of income level.

These rankings are powered by Meridian-IQ and AdviceIQ. AdviceIQ collected data from financial advisors in the Bergen County area to create a top list on behalf of (201) Magazine and bergencounty.com.

These rankings are based on three criteria: 1) individual financial advisor's number of years in business; 2) number of individual client relationships; and 3) advisor firm's assets under management. All three criteria were given equal weighting in deciding the final order of the rankings.

The rankings were open to all types of advisors, and were advertised on bergencounty.com. Participation was also directly encouraged via email and phone calls to more than 1,500 advisors. Eligibility was determined by geographical location in the Bergen County area, and only retail firms were considered.

AdviceIQ performed due diligence on all the advisors who participated, using the Regulatory Compliance Review, or RCR™. The RCR™ audit begins by verifying that each advisor is indeed registered with a bona fide regulator. A screen is then run with the following regulators for each advisor: Financial Industry Regulatory Authority (FINRA), U.S. Securities and Exchange Commission (SEC), each advisor's home state Insurance Commissioner and State Investment Advisor Representative regulator.

The RCR™ audit checks to see if an advisor has any negative history with any of the above regulators. Unfortunately, there is precedent for advisors who have been banned by one regulator who reappear under another regulator. Only advisors with a clean regulatory history across all four regulators were featured in these rankings.

For more information and daily articles from AdviceIQ, [click here](#).

Please direct any questions about Bergen's Top Financial Advisors to [info@AdviceIQ.com](mailto:info@AdviceIQ.com). Note: UBS